



finswitch

Simplicity. Certainty. Efficiency.

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# Operations Committee Meeting No. 15

Wednesday, 15 March 2017.

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# Agenda.

- Introduction
  - Did You Know
  - Looking Back
  - Current Release
  - Looking Ahead
  - General
  - Questions
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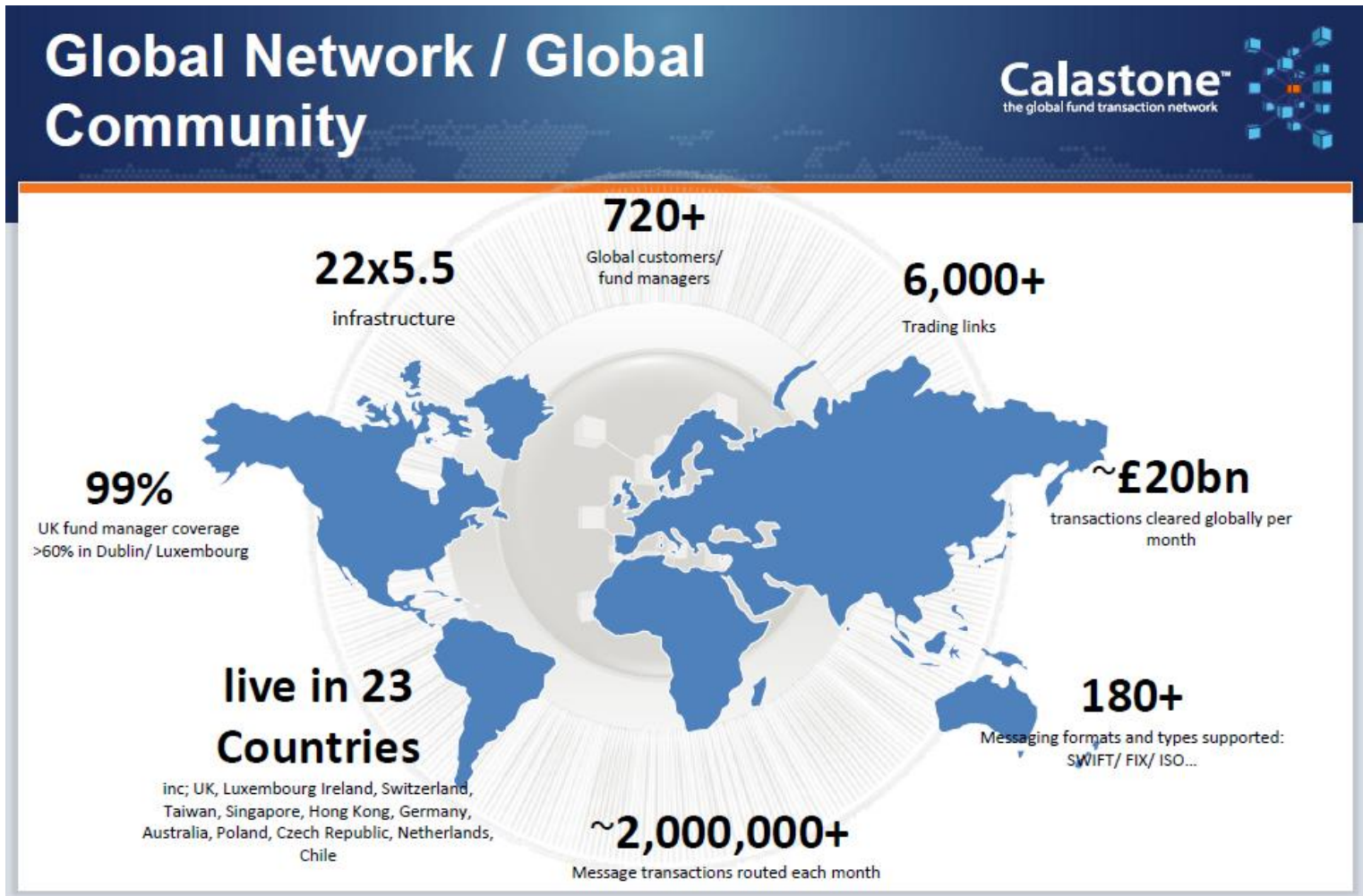
## Did You Know?

- Upload Files – FinSwitch can Accept Valid Line Items Only
    - ✓ Successful line items will be uploaded and errors will be rejected
  - Bulk Fund Linking Process
    - ✓ Works separately to manual process
    - ✓ Keep template saved for continuous utilization
    - ✓ Tracking schedule
    - ✓ Security Custodian to configure notification
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## Looking Back.

Item	Description
<b>Transfers</b>  <u>Perception:</u> Can't use functionality due to development requirements  <u>Perception:</u> Can't use functionality because recipient is not using functionality	No development requirements needed  Transfer schedule can be uploaded or downloaded by a manual user on FinSwitch
Confirmation File	Product codes are a mandatory field
Confirmation File – Equity/MM Switches	Separate upload of SI and SO line items

# Current Release – Calastone .



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## Current Release (Date to be Confirmed).

Item	Description
Calastone	<p>Trading with International Fund Providers:</p> <ul style="list-style-type: none"><li>▪ Trade orders in FinSwitch format</li><li>▪ International confirmation download in FinSwitch format</li><li>▪ Dashboard status</li></ul> <p><u>Procedure:</u></p> <ul style="list-style-type: none"><li>▪ Client sends request to trade to FinSwitch</li><li>▪ Calastone order form completed and signed</li><li>▪ Client Identifier issued by Calastone</li><li>▪ Testing enabled</li></ul>

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## Current Release (Date to be Confirmed).

Item	Description
FinSwitch Architecture	Optimizing our system backend  <u>Notifications Project:</u> <ul style="list-style-type: none"><li>▪ Only critical notifications will be sent via email and when logged in a pop up received</li><li>▪ All other notifications are available in the Audit Log</li></ul>
Dividend Withholding Tax Change	Amendments to Income Distribution column header names (18 – 21)
Price File	Warning for validation on $AY = AN + AS$ will be removed

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## Looking Ahead.

Item	Description
Asset Allocation File	<ul style="list-style-type: none"><li>▪ As per ASISA specification</li><li>▪ Consolidated download as per the Price file download</li></ul>
Transaction File	Reject MM trades after cut off and process only the Unit Trust line items
Free Format Income Distribution File	Creation of this file to complete the Free Format files
Hedge Funds	<ul style="list-style-type: none"><li>▪ Value date which allows for future date transactions</li><li>▪ Hedge fund category</li></ul>
Web Services	Process Valid Line items
Fact Sheets and Statement M's	Upload these files via the Output Distribution Engine

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## General.

- Clean up of holding balances in the confirmation file
  - Clean up of accounts not linked in the confirmation file
  - UAT Data Refresh – January 2017
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# Questions!