



finswitch

Simplicity. Certainty. Efficiency.



Operations Committee Meeting No. 14

Tuesday, 18 October 2016.

Agenda.

- Introduction by Nick Baikoff
 - Did You Know presented by Tasneem Gydien
 - Looking Back presented by Tasneem Gydien
 - Current Release presented by Tasneem Gydien
 - Looking Ahead presented Nick Baikoff
 - General presented by Nick Baikoff
 - 2015-2016 FinSwitch Summary presented by Nick Baikoff
 - Questions
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Did You Know?

- Holdings Balances for non FinSwitch Trades are accepted on FinSwitch
 - ✓ Reported in the confirms file and we are looking to make it mandatory
 - Rebates and Reinvestments accepted
 - ✓ Reported in the confirms file
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Looking Back.

Item	Description
Holdings Balances in Confirmation File	Balances are mandatory. HO/HC must be included for each fund whether there were any trades or not and must reflect the balances held on your client ledger
Client Operational Dashboard	Current and daily operational statuses: <ul style="list-style-type: none">▪ File Activities▪ Warnings▪ Errors
Download Consolidation Files	Files are available in real time, without having to wait 15 minutes
Money Market Funds	Cut off is mandatory when adding MM funds
Notifications	New users created do not receive any notifications, users must configure their own notifications

Looking Back.

Item	Description
TER File	TER percentage is a mandatory field
Transaction Notification	Repurchase transaction exceeding 5% has been rectified
Transaction File	Full disinvestments – 100% accepted in percentage column
Web Services	Customization of files available

Current Releases.

Item	Description
Web Services	Line Feed has been reinstated in UAT and Live

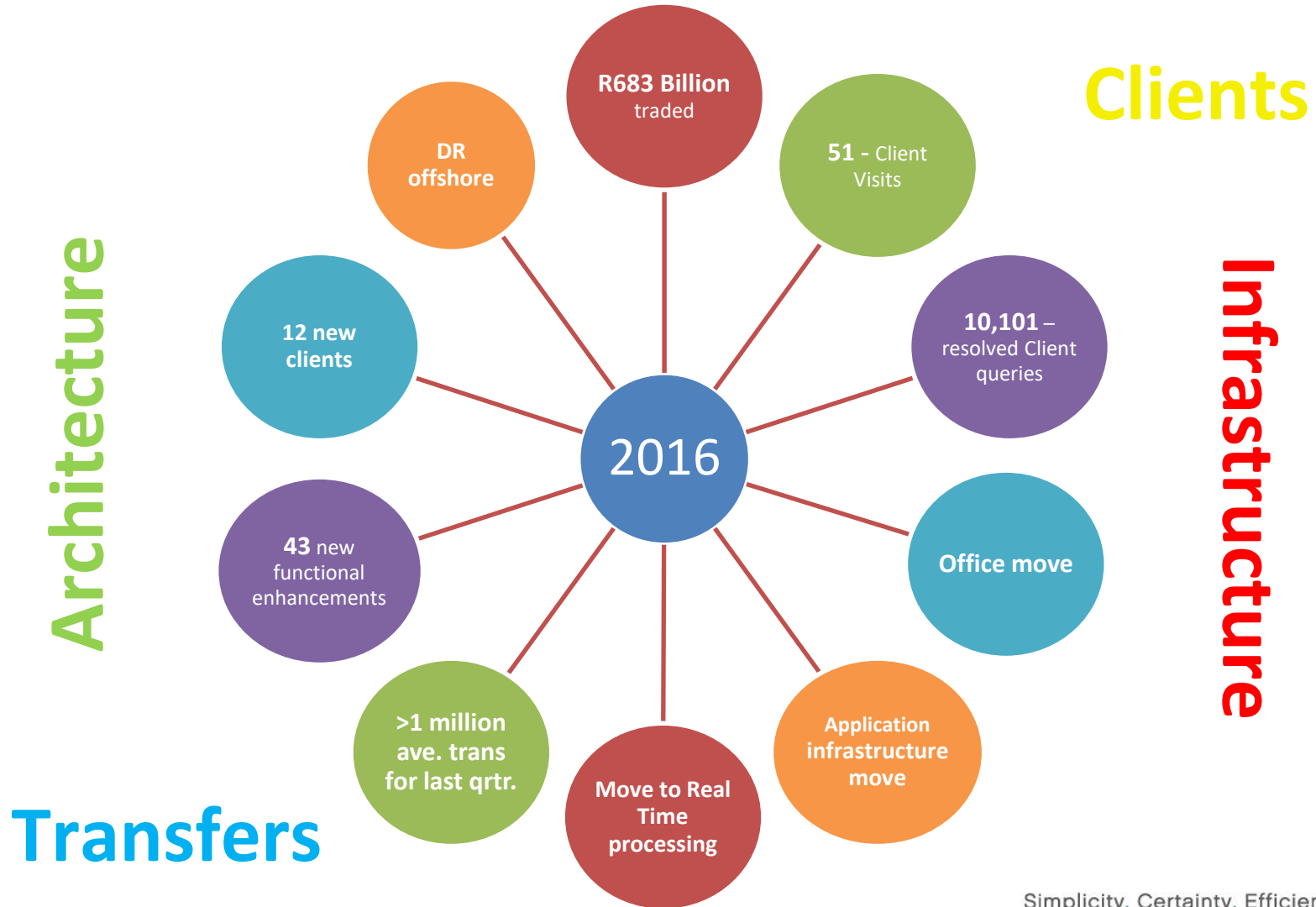
Looking Ahead.

Item	Description
Internet Explorer	FinSwitch will be best compatible with Internet Explorer 10, effective 7 November 2016
Transfers	Moving to Live in line with above date
International Linking	Link to Calastone: In the testing phase. Possible release in UAT, 1 st quarter of 2017
Confirmations File	Looking to make Rebates and Reinvestments Mandatory
FinSwitch Architecture	Optimizing system backend, in the testing phase. Possible release in UAT, 1 st quarter of 2017. (No impact to clients)

General.

- ASISA CIS Operations Standing Committee Meeting Items
 - ✓ Money Market Yield in FinSwitch Specification
 - ✓ Income Distribution Corrections
 - ✓ General Matters pertaining to:
 - Off boarding and Onboarding of funds between ManCo's
 - Income Distribution File
 - Upload of Rebates and Re-investments in Confirmation file
 - Uploading of CPU
 - Clean Price (CP) in the price file should be as per the ASISA standard
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2015 – 2016 – Year in Summary.





Questions!