



finswitch

Simplicity. Certainty. Efficiency.

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# Operations Committee Meeting No. 12

Wednesday, 16 September 2015.

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# Agenda.

- Introduction by Nick Baikoff
  - Looking Back presented by Tasneem Gydien
  - November Release presented by Ismail Allie
  - Looking Ahead presented Nick Baikoff
  - Questions
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## Introduction.

- Delivering on our promise of new user driven functionality
- Covering all transaction types for all products
- Full Business-to-Business connectivity

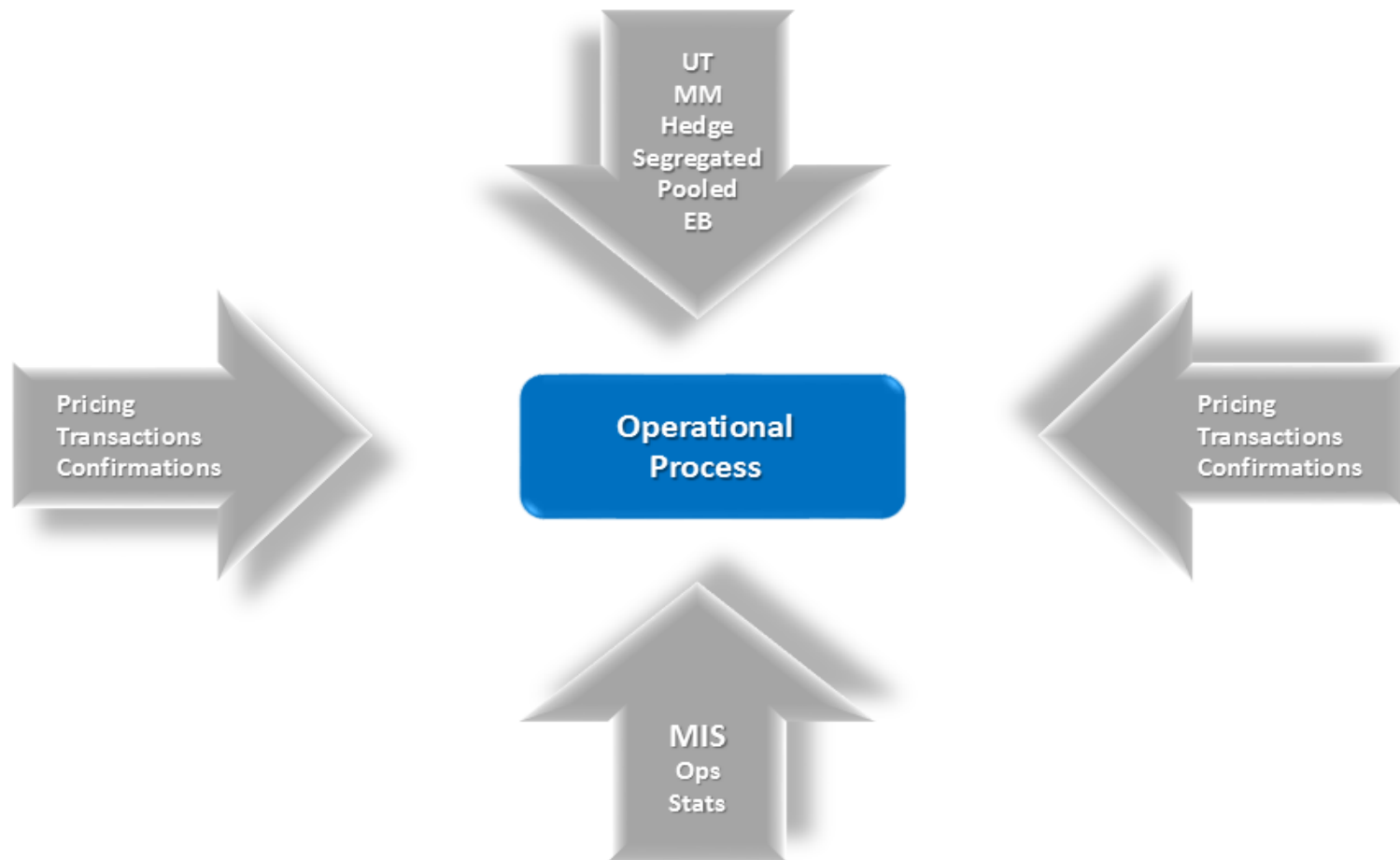
**BUT .....**

***WE NEED YOU TO USE IT***

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## FinSwitch Covers All Products.



## Looking Back.

Item	Description	Action Item
Income Distribution File	Dividend local already taxed (pre DWT) the bracketed words to be dropped (column 16). Guideline document available	Yes, validate data is as per industry std.
Money Market Cut Off's	ManCo's must set money market cut off	Yes
Web Services	<p>Close the gap between front end functionality and Web Services, Web Services will now allow you to download:</p> <ul style="list-style-type: none"> <li>• Fund Static Data</li> <li>• Product Static Data</li> <li>• Fund Accounts</li> <li>• Free Format Files – PD, TR and CT</li> <li>• Fund Certificates</li> <li>• IML's</li> <li>• Reg. 28 Summary and Detail (Monthly and Quarterly)</li> <li>• SARB Report</li> </ul>	Yes, if required, request file specification
Free Format File	Confirmation of Transaction Request for Free Format file	No
Editable Golden Fund Record Screen	FinSwitch will allow fund data to be edited on the front end. New funds must be uploaded via the new golden fund static template	Yes, if funds are uploaded. Update existing funds
Regulation 28 Look Through	Inclusion of look through funds on existing ASISA file	Yes, modify as per spec to upload directly to FinSwitch

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# November Release.

Date to be confirmed.

Item	Description	Action Item
Transfers	Transfer and document process	Yes, as per spec
Auto Linking	Automation of adding and linking accounts	No
Bulk Auto Deletes	Automation of deletion of the fund accounts	No
Total Expense Ratio (TER)	Addition of Transaction Costs (TC) and Total Investment Charges	Yes

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## Looking Ahead.

Item	Description	Action Item
Confirmation of Transaction Request File	Holding balances will become mandatory fields in March 2016	Yes
International Linking	Link to other international switches	Yes, develop as per spec. if required
Full Disinvestment	Full disinvestment category in Transaction Request file	Yes, develop as per spec.
Minimum Disclosure Document (MDD)	Availability of MDD	Yes, distribute via FinSwitch distribution engine
Client Operational Dashboards	Graphical display of status of daily operational uploads and downloads: <ul style="list-style-type: none"><li>• Transaction Request File</li><li>• Confirmation of Transaction Request File</li><li>• Price Distribution File</li><li>• Income Distribution</li><li>• Total Expense Ratio</li></ul>	No



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## Looking Ahead.

Item	Description	Action Item
Fund Notification	Notifications to be sent when funds merge or close	No
Archiving	Archiving of data greater than 2 years	No
Transaction Request File Mapping	Warning will change to error if mapped incorrectly	Yes, standing data must be updated
LISP Forum	FinSwitch will create a bi-annual LISP forum, first one to be held before end of 2015	No

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# Questions!